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with international search report

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21 August 2003

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



A3

**(54) Title:** *IN VITRO* CELL-BASED METHODS FOR BIOLOGICAL VALIDATION AND PHARMACOLOGICAL SCREENING OF CHEMICAL ENTITIES AND BIOLOGICALS

(57) Abstract: This patent describes a novel *in vitro* cell-based method for biological validation and pharmacological screening of drugs, new chemical entities (NCEs) and biologics, which is predictive of *in vivo* testing for efficacy and adverse events in patients, as occurs in clinical trials. The same method can be used to create an *in vitro* cell-based assay to identify the 'right t marketed medication for the right patient' (personalized medicine), and to identify responders/non-responders in ongoing clinical trials with NCEs. In addition this approach can be used to identify new indications for existing medicines and new indications for NCEs that were unsuccessful in their intended uses.

#### INTERNATIONAL SEARCH REPORT

onal Application No PCT/US 01/43726

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12Q1/68 G01N33/50						
According to International Patent Classification (IPC) or to both national classification and IPC						
B. FIELDS	SEARCHED					
Minimum documentation searched (classification system followed by classification symbols)  IPC 7 G01N C12Q						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
Fig. No. 15 of		15 1				
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)						
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT					
Category °	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.			
Х	HIRANO TOSHIHIKO ET AL: "Clinical significance of glucocorticoid pharmacodynamics assessed by		1-7, 25-28,32			
	antilymphocyte action in kidney transplantation: Marked difference between prednisolone and methylprednisolone." TRANSPLANTATION (BALTIMORE), vol. 57, no. 9, 1994, pages 1341-1348, XP001121774 ISSN: 0041-1337 page 1341 -page 1344					
X	WO 96 35948 A (LANDSTINGET I OESTERGOETLAND ;HAAKANSSON LEIF ( 14 November 1996 (1996–11–14) page 8 –page 12; figures 6,9; exa ––––		1-7, 25-28,32			
Further documents are listed in the continuation of box C.						
° Special ca	tegories of cited documents:	"T" later document published after the inte	rnational filing data			
"A" docume	ent defining the general state of the art which is not	or priority date and not in conflict with	the application but			
considered to be of particular relevance  "E" earlier document but published on or after the international  "X" document of particular relevance; the claimed invention						
*L¹ document which may throw doubts on priority claim(s) or involve an inventive step when the document is taken alone						
which is cited to establish the publication date of another  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the						
"O" document referring to an oral disclosure, use, exhibition or document is combined with one or more other such document other means document is combination being obvious to a person skilled						
*P* document published prior to the international filing date but later than the priority date claimed in the art.  *&* document member of the same patent family						
Date of the actual completion of the international search  Date of mailing of the international search report						
13 January 2003		1 2. 05. 03				
Name and mailing address of the ISA  Authorized officer  Furgican Potent Office R.P. 5019 Potention 2						
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Friedrich, C				

# national application No. PCT/US 01/43726

#### INTERNATIONAL SEARCH REPORT

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)					
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:					
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:					
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:					
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).					
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)					
This International Searching Authority found multiple inventions in this international application, as follows:					
As all required additional search fees were timely pald by the applicant, this International Search Report covers all searchable claims.					
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.					
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:					
No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the Invention first mentioned in the claims; it is covered by claims Nos.:  1-7, 25-28, 32 (all in part)					
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.					

#### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-7, 25-28, 32 (all in part)

PBMC based method to predict success or failure of in vivo treatment with chemical entities relying on cellular responses.

2. Claims: 4, 10, 15-19, 20-24, 29 (all in part)

Method to increase sensitivity of PBMC to chemical entities by transfection with a zinc finger protein.

3. Claims: 8-14, 15-19, 20-24, and 29

Method to predict in vivo response to treatment with a chemical entity based on PBMC gene expression analysis.

4. Claim: 30

PBMC based method to predict success or failure of in vivo treatment with chemical entities relying on gene expression detected on microarrays.

5. Claim: 31

PBMC based method to predict success or failure of in vivo treatment with chemical entities relying on gene expression detected on microbeads.

#### INTERNATIONAL SEARCH REPORT

Information on patent family members

Ir nal Application No
PCT/US 01/43726

Patent document cited in search report	Publication	Patent family	Publication
	date	member(s)	date
WO 9635948 A	14-11-1996	AT 214160 T CA 2215630 A DE 69619667 D DE 69619667 T EP 0824695 A JP 11504714 T US 6242202 B	15-03-2002 14-11-1996 11-04-2002 31-10-2002 25-02-1998 27-04-1999 05-06-2001